



A Subsidiary of Access Bank Plc.

JOB PROFILE

Position Title:	Team Lead, Regulatory Intelligence & Compliance Advisory
Available position/s:	1
Division:	Risk
Location:	Head Office
Reporting to:	Head, Compliance

Position Scope:

The role holder will be responsible for implementing measures that would ensure adherence to appropriate compliance framework and procedures so as to minimize loss from non-compliance with the policies & procedures.

Key Responsibilities:

- Develop and lead the Bank's regulatory compliance strategy.
- Review recent proposed activities by regulatory agencies to determine potential impact on company operations.
- Monitor and report current and proposed changes to the legislative and regulatory environment that affects the Bank's operations or strategic plans and engage the appropriate areas of the business concerning these developments.
- Stays abreast of industry and compliance trends (local & International); recommends and implements changes to internal company processes as needed.
- Review regulatory submissions for conformance and compliance with rendition deadlines.
- Undertake environmental scanning and provide advice through intelligence led analysis.
- Develop analysis of known and emerging compliance risks and opportunities.
- Complete intelligence assessments on regulatory issues that assist to formulate effective problem-solving strategies, policies and programmes.
- Co-ordinate the bank-wide regulatory monitoring function through the Rule- Book.
- Maintain and update the Bank's corporate governance code and compliance framework.
- Develop and periodically review and updates Standards of Conduct to ensure continuing currency and relevance in providing guidance to management and employees.
- Ensure compliance and adherence to corporate governance codes and standards.
- Develop policy and procedure recommendations on Corporate Governance improvement within the in Bank.

- Develop and aid deliver various training courses on corporate governance within the Bank.
- Provide seamless and responsive front-line compliance advisory support to the business entity, consistent approach to all matters is key.
- Be responsible for working with the product compliance team on new product, policies and proposition sign off.
- Assists in developing the compliance training schedule incorporating results of compliance risk assessments.
- Participate with any New Product approvals alongside Compliance Advisory.
- Develop and lead the Bank's Exception Management strategy.
- Monitor and report bank wide exception trend and closure.
- Review regulatory exceptions for regularization and closure.
- Co-ordinate the bank-wide monitoring of exception log and ensure prompt regularization.

Knowledge, Skills & Experience:

- Bachelor's Degree in any discipline from a recognized institution. Master's degree is an added advantage.
- Recognized professional certification such as CFA, CIS, ACCA, ICPAK, CFP, CFE, ACAMS, IT Certifications, etc
- Minimum of 15 years post qualification experience in Compliance & Internal Control within the Financial Services Industry of which at least 10 must have been in the Banking Sector.
- Strong understanding of Kenyan banking regulations and corporate governance.
- Experience in managing compliance monitoring or assurance functions is preferred.
- Banking Operations (Domestic & International Operations)
- Knowledge in Accounting & Credit Analysis / Appraisal
- Environmental / Industry Analysis
- Risk Management
- Operational risk
- Investment / Portfolio Management
- Assets & Liabilities Management
- Accounting principles and GL structures
- Proficiency in Excel and financial systems
- IFRS and regulatory reporting standards
- Financial analysis / interpretation
- Knowledge of eCDD systems and global KYC requirements
- Knowledge of AML/CFT/CPF & consumer protection
- Expertise in AML/CFT and sanctions compliance
- Leadership experience
- Strong analytical and investigative skills
- Coaching /Leadership / Influencing
- Strong analytical and investigative skills
- Excellent written and verbal communication, presentations skills and team player.

- Discretion in handling confidential information.
- Collaboration: forms business partnerships that help drive the Bank's Assurance agenda.
- Good knowledge of Banking laws and regulations.
- Stakeholder Management.

How to Apply:

- Send your CV and application letter showing how you meet the role requirement stated above to: Recruitment@nationalbank.co.ke by **Friday, 5th June 2026**
- Indicate Name & Job Reference **No. NBK/Risk/09/2026 on email subject.**
- Please note that applications received after the deadline will not be considered.
- Only shortlisted candidates will be contacted for the next stage/s of the process.